



NEWSLETTER

of the International Consortium “Development of High-Power Terahertz Science & Technology”

June 2019

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EDITORIAL: HOW TO CONTRIBUTE TO THE NEWSLETTER

Dear Reader,

We are inviting contributions to the following rubrics:

- Research highlights (annotations) presenting the projects pursued by the members of the Consortium.
- Short regular papers.
- Proposals for collaborative research work.
- News from the participating institutions.
- Information about conferences, symposia, workshops, seminars.
- Programs and frameworks for an exchange of visits and mobility of researchers. Job opportunities (especially for young researchers, e.g. postdoctoral positions, specializations, internships).
- Annotations of books, conference proceedings, software and internet resources. Additions to the list of the recent scientific publications and conference reports at the website of the Consortium (http://fir.ufukui.ac.jp/Website_Consortium/publist.html).
- Information and announcements about awards and nominations.
- Short presentations of laboratories and research groups belonging to the participating institutions.

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Professor Masahiko Tani
Director of FIR UF – Facilitator of
the International Consortium
FIR UF
tani@fir.u-fukui.ac.jp

Dr. Svilen Sabchevski
Editor of the website and the Newsletter
Institute of Electronics of the Bulgarian
Academy of Sciences
IE-BAS
sabch@ie.bas.bg

Gyrotron as an advanced tool for spectroscopy applications

**M. Yu. Glyavin, G.Yu. Golubiatnikov, M.A.Koshelev,
M.Yu.Tretyakov, A.I.Tsvetkov, A.P.Fokin**

Institute of Applied Physics RAS, Nizhny Novgorod, Russia

glyavin@appl.sci-nnov.ru

Introduction

The first attempt to achieve a record-breaking spectroscopic sensitivity using the gyrotron as a source of high-power (up to 1 kW) coherent radiation to observe a signal from the spectral line of the formic acid molecule (HCOOH) at a frequency of 34 GHz was undertaken more than 40 years ago in 1974 [1]. A spectrometer with radio-acoustic detection (RAD) of absorption in a gas [2,3], in which an acoustic signal arising due to the gas heating by the radiation is recorded by a microphone, was used for this purpose. In this case, the signal from the absorption line in a gas is proportional to the power of resonant radiation. The maximum signal from the line is determined by the parameters of saturation of the spectral transition under study with radiation power, namely, the magnitude of the dipole moment of the transition and the collisional width of the absorption line. To eliminate the saturation effect, the line width of the rotational transition $J = 6_{15}-6_{16}$ of formic acid (the dipole moment of the transition is 0.1 D) was chosen in [1] to be fairly large - 70 MHz. The need to use a wide absorption line in these measurements was also determined by the fact that the gyrotron did not have a smooth and reproducible tuning of the radiation frequency, and only the maximum signal response from the line was recorded. This problem, combined with the lack of the required frequency tuning range of the radiation ($\Delta f/f \sim 10^{-4}-10^{-3}$), explains why the existing gyrotrons were not in demand in the next decades for the purposes of molecular spectroscopy, although, according to the estimates, the sensitivity achieved in the experiment was record-breaking for that time - about 10^{-11} cm^{-1} for a gyrotron power of 10^3 W . Such a sensitivity could allow solving a number of fundamental and applied problems, such as the studies of the spectra of molecules with a magnetic dipole moment (1 Bohr magneton is equivalent to approximately 0.01 D), non-polar molecules acquiring a small dipole moment due to the isotopic substitution of one of the atoms, and low-intensity forbidden transitions of both polar and non-polar molecules, the conversion of spin isomers, the analysis of high-purity gases for trace impurities, etc.

Gyrotron

The gyrotron with operation frequency 0.26 THz for spectroscopy application has been developed at IAP RAS [4]. The spectral line width of radiation with the PLL system did not exceed 1 Hz [5]. The frequency stability was determined by the frequency and time standard SRS FS740 synchronized by a GPS signal and was of the order of 10^{-13} and 10^{-11} for long-term and short-term relative stability, respectively. The range of electronic frequency tuning reached 50-60 MHz for certain parameters of the gyrotron. A broader, smooth tuning of the gyrotron frequency in the PLL mode was carried out by a precisely controlled variation in the resonator temperature (4 MHz/°C). The total frequency tuning range of the gyrotron in the PLL mode achieved in this work was 263.1-264.0 GHz with an adjustable radiation power in the range 10-500 W. The spectral range accessible for 0.26 THz gyrotron experiments can be significantly extended by using the high harmonic generation at presence of operating mode at fundamental harmonic. This paper demonstrates that all these factors, combined with the conventionally high output power, extend the application range of a gyrotron, making it a working tool for solving the problems of high-resolution molecular spectroscopy.

The RAD spectrometer belongs to the class of spectrometers in which the result of the radiation interaction with a substance is recorded by the change in the properties of the substance. The excitation of molecules during the absorption of radiation at the frequency of the spectral transition and their relaxation as a result of collisions leads to the heating of the gas and the appearance of an acoustic signal, which increased with the radiation power. This makes it possible to increase the spectrometer sensitivity.

Experiment

A detailed description of the parameters of the gyrotron used as a source of continuous coherent radiation in the RAD spectrometer can be found in [5]. The diameter and length of the absorbing cell of the RAD spectrometer used in the experiments were 2 and 10 cm, respectively. The small length of the cell not only makes it compact and convenient to use (for example, for shielding from external magnetic fields and thermal stabilization), but also reduces the influence of the standing waves generated by the reflection of radiation from the windows of the cell. The high sensitivity of the RAD spectrometer achieved in this way makes it possible to examine the lines of both strong and weak transitions without changing the cell dimensions. This is a significant difference between the RAD method and the classical direct-absorption spectrometer, in which sensitivity is directly related to the optical path length, and transitions of different strength are studied using various cells differing in length and the number of passes. Note that the dipole moments of the transitions that are interesting for modern spectroscopy are much smaller in magnitude. For example, the asymmetric isotopologue of the carbon dioxide molecule $^{16}\text{O}^{12}\text{C}^{17}\text{O}$ has a small dipole moment and for a notable saturation of the lines of the rotational spectrum of this molecule, a power of about 1 GW is needed, so high power sources are needed.

Results

It has been shown that low-intensity molecular spectral lines can be recorded by the radio-acoustic method with an absorption-coefficient sensitivity of the order of $\sim 10^{-10} \text{ cm}^{-1}$ for a line recording time from 1 to 10 min. High sensitivity was achieved due to the use of a gyrotron whose radiation power in the RAD cell did not exceed $\sim 10 \text{ W}$ in these experiments, which is several orders of magnitude higher than the radiation power of the mm/submm-wave radiation sources, which are conventional for spectroscopy, and can be increased by another several orders of magnitude.

The system of precision control and digital control of the gyrotron radiation frequency based on the PLL system used in this paper, combined with high stability of the radiation power, permits high-accuracy studies of high-resolution molecular spectra, including long-term accumulation of the absorption signal, to achieve an additional multiple increase in the sensitivity of the RAD spectrometer.

Using a RAD spectrometer and gyrotron radiation, we obtained records of the spectra of different gases (see, for example, Fig.1), analyzed the shape of the observed lines, and determined their spectroscopic parameters.

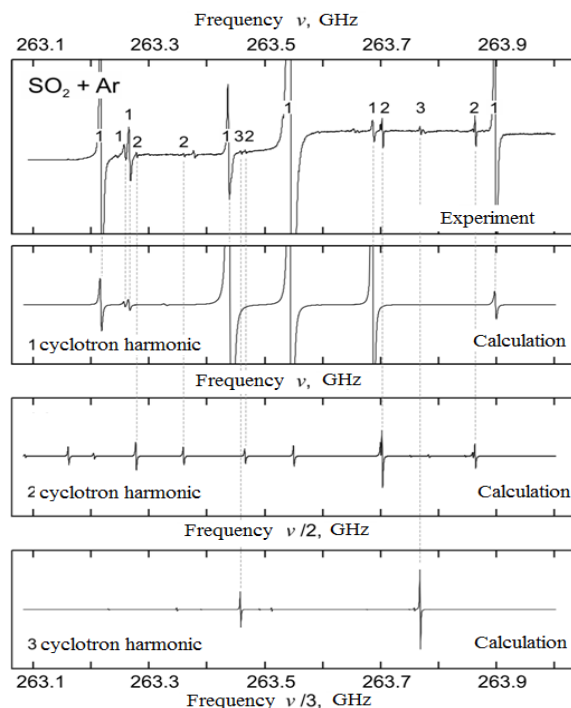


Fig 1. Survey spectrum of a SO_2 mixture with argon in the gyrotron tuning range, which was recorded using frequency modulation of the radiation with detection of the absorption signal at the fundamental harmonic of the modulation frequency. The lines are identified as absorption at the fundamental (first), second, and third harmonics of the gyrotron and are marked with corresponding numbers. Bottom panels show the calculated spectra for respective harmonics of the gyrotron radiation.

A good agreement between the measured values and the results of the study of these lines by other spectroscopic methods and tools is shown. Thus, we can talk of a RAD spectrometer with the gyrotron as a radiation source having a PLL-based frequency control and monitoring system as of a promising terahertz spectrometer for a study of the shape of the lines and determination of their quantitative characteristics. The most promising advantage of the spectrometer is the possibility to further increase its sensitivity by increasing the power of the sounding radiation and detecting weak, and therefore poorly studied, molecular lines corresponding to transitions with very small matrix elements of the dipole moment, such as transitions of paramagnetic molecules occurring due to the magnetic dipole moment. The latter is usually is equal to about one Bohr magneton, which in the interaction with radiation is equivalent to an electric dipole moment of about 0.01 D. As an example, we mention the spectra of an oxygen molecule in excited vibrational states that have never been studied in the mm/submm wavelength range due to the lack of sensitivity of the spectrometers and are not observed in the IR range due to the absence of the dipole moment derivative of this molecule. Another object of research can be the mentioned rotational spectra of isotopologues of symmetric diatomic and linear molecules, such as HD, $^{14}\text{N}^{15}\text{N}$, $^{16}\text{O}^{17}\text{O}$, $^{16}\text{O}^{12}\text{C}^{17}\text{O}$, etc., which remain almost unexplored. Studies of the quadrupole transitions of non-polar molecules, which are forbidden in the electric-dipole approximation, as well as transitions between the spin isomers of the molecules, which are still more forbidden, i.e., transitions between para and ortho states, are the most interesting for fundamental spectroscopy.

The gyrotron radiation analysis carried out in this paper clearly demonstrates a unique opportunity for using the RAD spectrometer to determine the exact frequency and power characteristics of radiation sources.

Acknowledgements. The gyrotron development supported by the Russian Scientific Foundation under the project 19-12-00141 and RAD facility development supported by Russian Scientific Foundation under the project 17-19-01602.

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2. A.F. Krupnov, Modern submillimeter microwave scanning spectroscopy, "Modern Aspects of Microwave Spectroscopy," G.W. Chantry, Ed, Academic Press, London (1979) 217-256.
3. M.Yu. Tretyakov, M.A. Koshelev, D.S. Makarov, and M.V. Tonkov, Precise Measurements of Collision Parameters of Spectral Lines with a Spectrometer with Radioacoustic Detection of Absorption in the Millimeter and Submillimeter Ranges, *Instruments and Experimental Techniques*, 51 (2008) 78-88.
4. M.Yu. Glyavin, A.V.Chirkov, G.G.Denisov et al. Experimental tests of 263 GHz gyrotron for spectroscopy applications and diagnostic of various media, *Rev. Sci. Instr.*, 86(5), 054705 (2015).
5. A.Fokin, M.Glyavin, G.Golubiatnikov, L.Lubyako, M.Morozkin, B.Movshevich, A.Tsvetkov, G.Denisov High power sub-terahertz microwave source with record frequency stability up to 1 Hz. *Scientific Reports* 8, 4317 (2018).

On the efficiency of gyrotrons with wide emitters

O.Dumbrajs¹, G.S.Nusinovich², M.D.Proyavin³ and M.Yu.Glyavin³

¹*Institute of Solid State Physics, University of Latvia,
Kengaraga Street 8, LV-1063 Riga, Latvia*

²*Institute for Research in Electronics and Applied Physics,
University of Maryland, College Park, Maryland 20742-3511, USA*

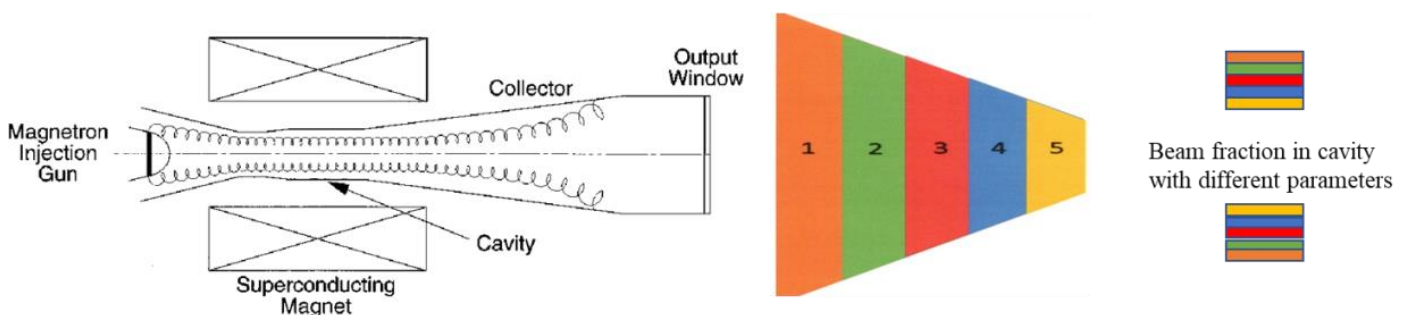
³*Institute of Applied Physics, Russian Academy of Sciences,
Ul'yanov Street 46, 603950 Nizhny Novgorod, Russia*

Introduction

- Development of high-power, THz-range gyrotrons is important for many applications.
- The cost of cryomagnets rapidly increases with the inner bore diameter.
- So it is desirable to increase the gyrotron power, while keeping the size of the gyrotron tube, which should be inserted into the cryomagnet, fixed.
- This can be realized by using electron guns with fixed cathode radii, but wide emitters.
- Emitter widening may cause efficiency degradation.
- This degradation can be caused by increasing the beam thickness in the resonator (radial non-uniformity of the beam coupling to the resonator mode).
- The degradation can also be caused by the difference in beam alpha and spread of the electron 'slices' produced by different layers of the emitter.
- This study is focused on the last (but not least!) problem.

Content

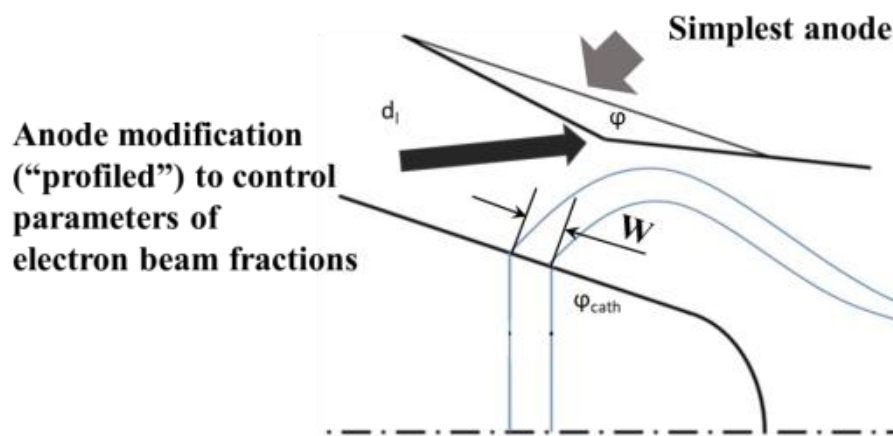
- Method: presentation of the emitter as a set of slices and calculation of the distribution functions of electrons emitted by these slices.



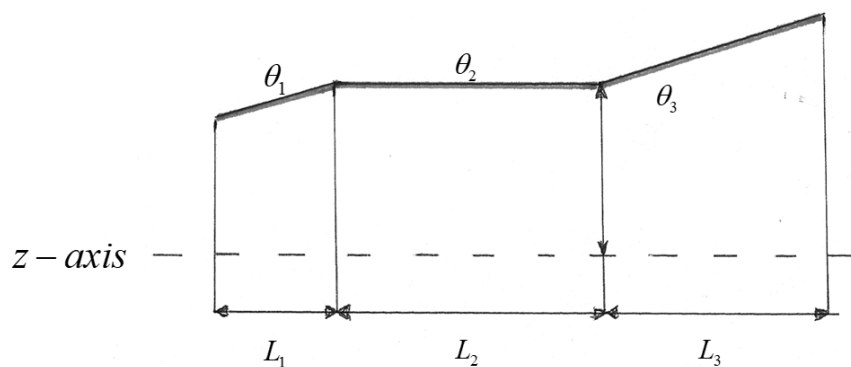
- Calculation of the efficiency of interaction of the fractions of the electron beam with the resonator field. Example: IAP RAS/GYCOM technological gyrotron with the operating frequency 28 GHz
- Comparison of characteristics of beam fractions in the 'normal' emitter with those of the 'widened' emitter (7 layers instead of 5).
- Analysis of some possibilities of the efficiency improvement.

Method of simulations

1. Distribution functions characterizing the properties of electron fractions produced by different parts of the emitter were calculated by using the code CST Studio Suite
2. The influence of the electron velocity spread on the efficiency was calculated by the means of the time-dependent self-consistent formalism [O. Dumbrajs, T. Saito, Y. Tatematsu, and Y. Yamaguchi, "Influence of the electron velocity spread and the beam width on the efficiency and mode competition in the high-power pulsed gyrotron for 300 GHz band collective Thomson scattering diagnostics in the large helical device", *Physics of Plasmas* 23, 093109 (2016)].
3. A triangular shape with 9 velocity components was used to describe the distribution functions.

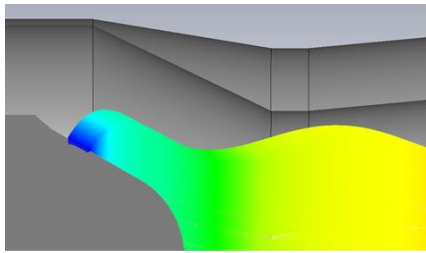


Anode modification ("profiled") to control parameters of electron beam fractions

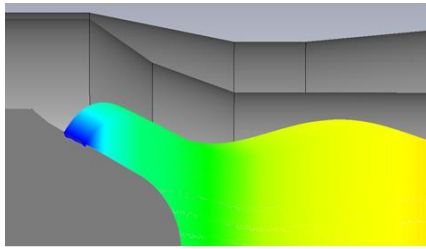
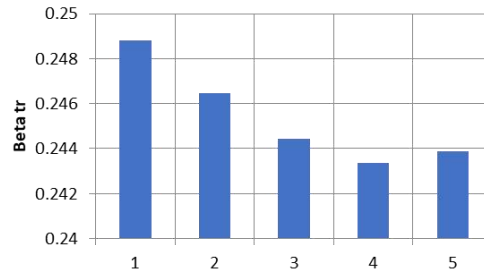


Mode $TE_{1,2}^-$ Geometry of the cavity: $\Theta_1=7^\circ$, $\Theta_2=0^\circ$, $\Theta_3=2^\circ$, $L_1=7$ mm, $L_2=65$ mm, $L_3=62$ mm, $R_{cav}=9.05$ mm.
 Cold cavity approximation: $F=28.175$ GHz, $Q_{dif}=917$, $Q_{ohm}=10997$, $Q_{tot}=846$

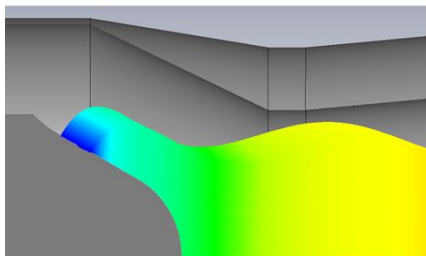
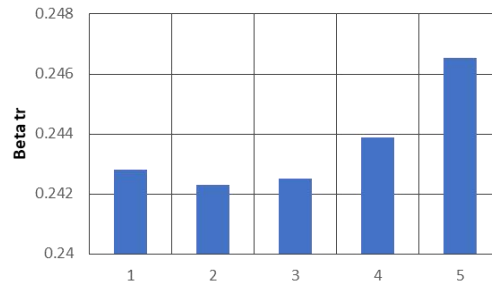
Different types of MIG and parameters of HEB fractions



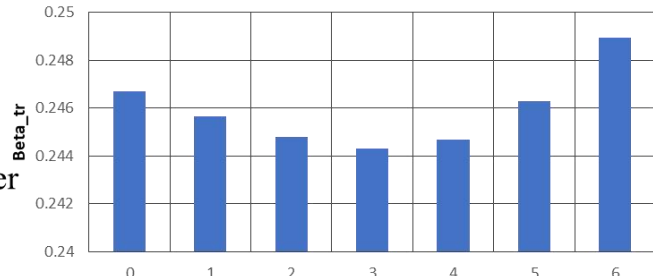
Standard
W=5 mm



Profiled anode
W=5 mm



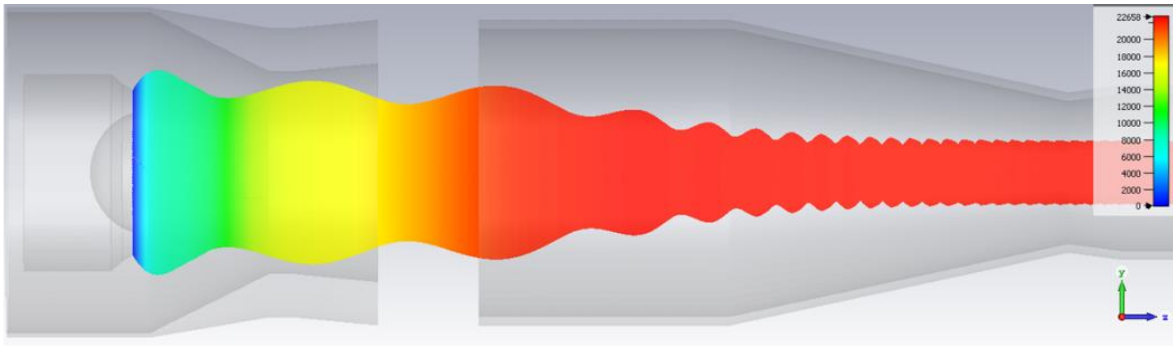
Wide emitter
W=7 mm



O. Dumbrajs, 7 June 2019.

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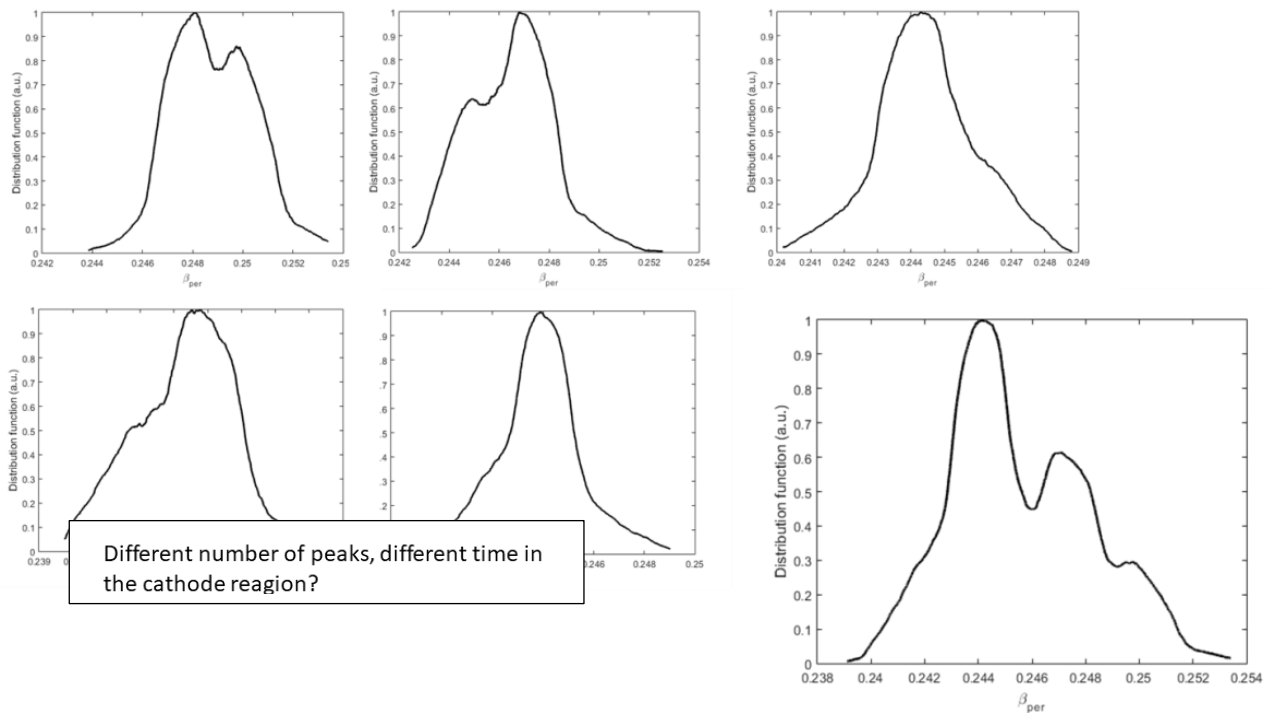
MIG with a “standard” emitter (divided in 5 layers) Distribution functions in five layers and that of a whole beam



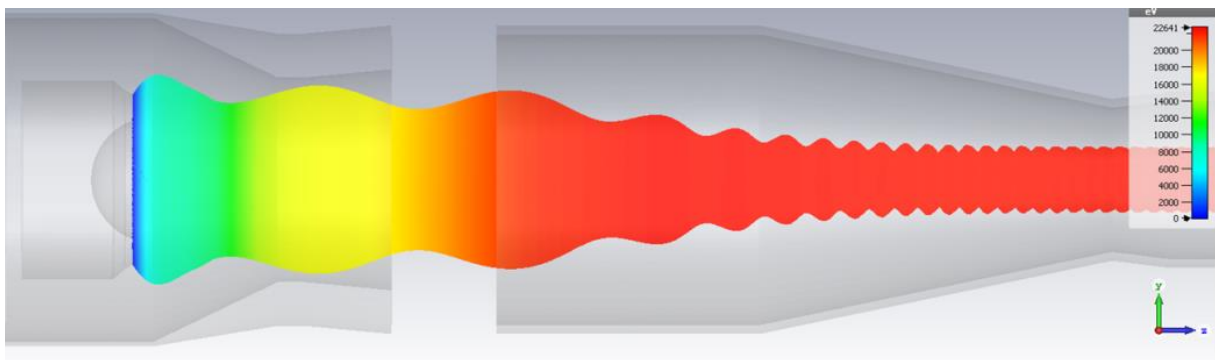
The colors show electron energy in eV

Fraction	R _{el} (mm)	β_{per}	$\Delta\beta_{per}$	α	Δ
1	3.2	0.249	0.04	1.67	0.79
2	3.156	0.248	0.036	1.64	0.8
3	3.092	0.245	0.037	1.57	0.81
4	3.049	0.244	0.033	1.55	0.82
5	2.989	0.244	0.041	1.55	0.82
all	3.1	0.246	0.057	1.6	0.81

Distribution functions of electrons for “standard” emitter (divided in 5 layers)

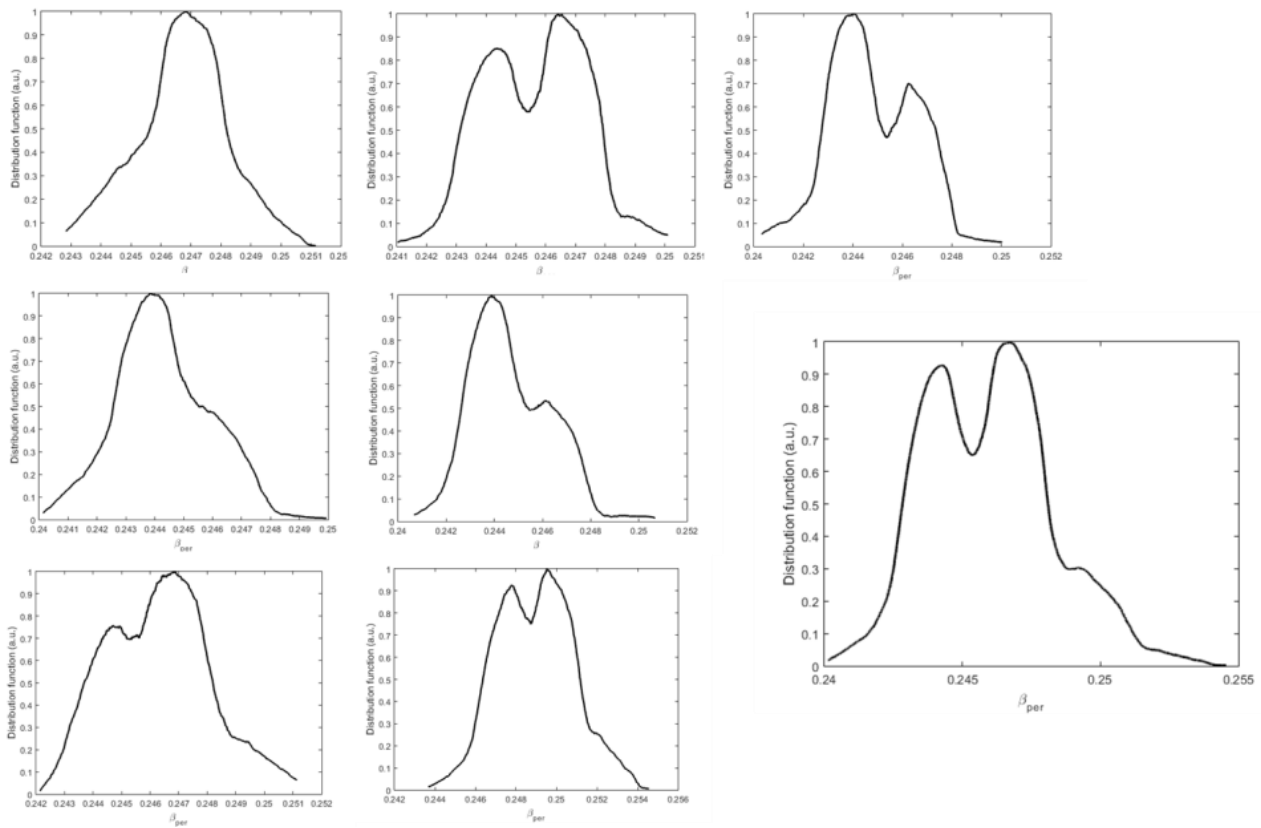


MIG with a “wide” emitter (divided in 7 layers) Distribution functions in seven layers and that of a whole beam

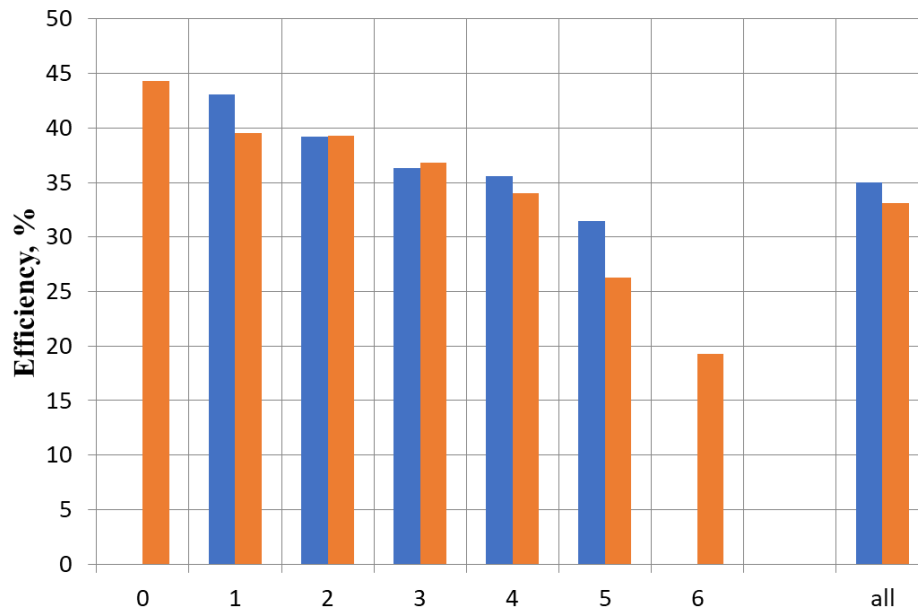


Fraction	R_{el} (mm)	β_{per}	$\Delta\beta_{per}$	α	Δ
0	3.24	0.247	0.0324	1.62	0.8
1	3.167	0.2445	0.0362	1.56	0.82
2	3.139	0.245	0.0408	1.57	0.81
3	3.097	0.245	0.0408	1.57	0.81
4	3.042	0.246	0.0407	1.6	0.81
5	2.94	0.2465	0.0365	1.61	0.81
6	2.914	0.249	0.0402	1.67	0.79
all	3.082	0.2475	0.0606	1.63	0.8

Distribution function of electrons for “wide” emitter (divided in 7 layers)



Efficiency calculations for a gyrotron with a “standard” (blue) and “wide” (orange) emitter

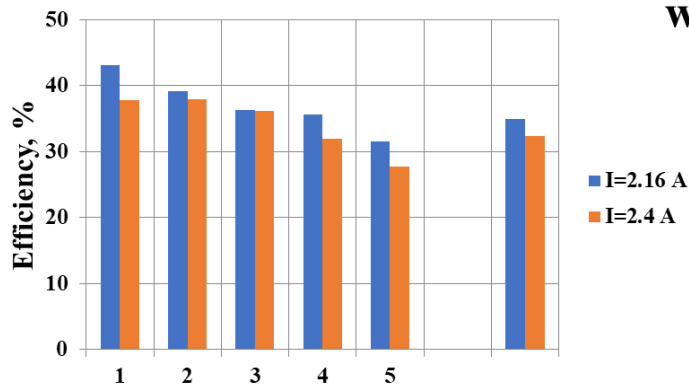


By using profiled anode, especially for a wide emitter, we can control parameters of electron fractions.

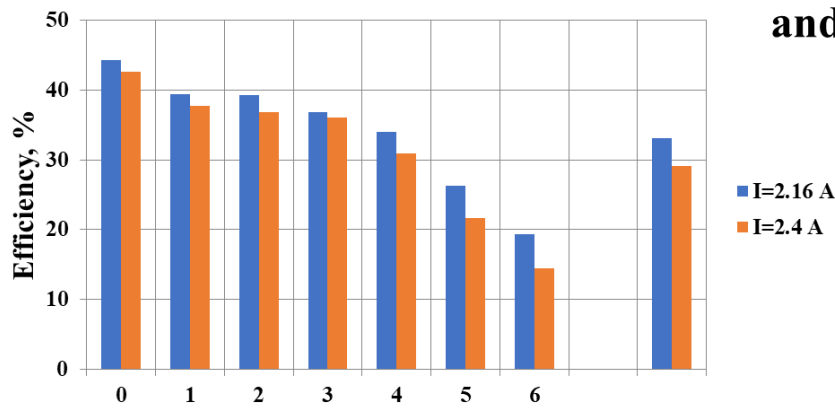
B=1.024 T
I=2.16 A
U=20 kV

Efficiencies of fractions may depend differently on B and I.

Efficiency calculations for a gyrotron

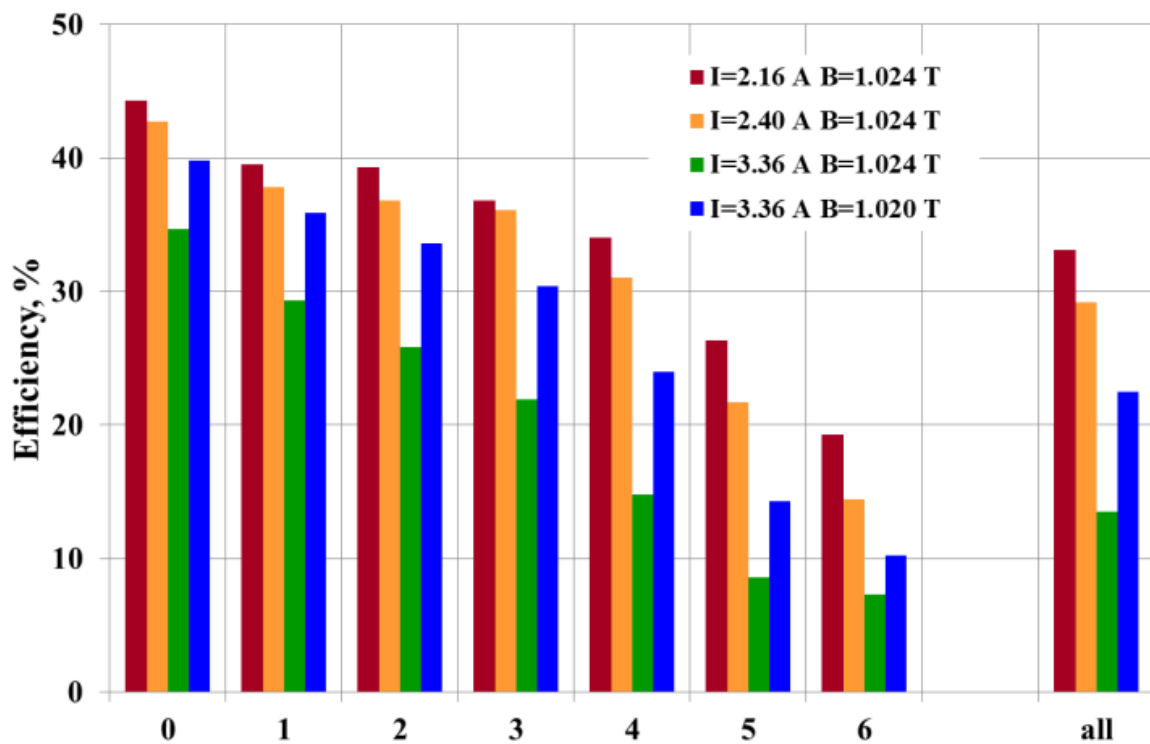


$B = 1.024 \text{ T}$

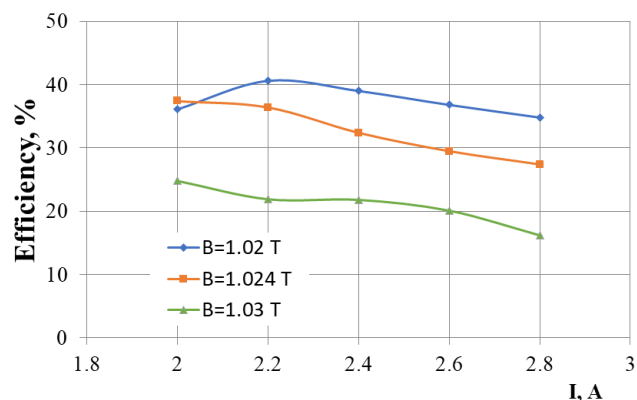


$B = 1.024 \text{ T}$

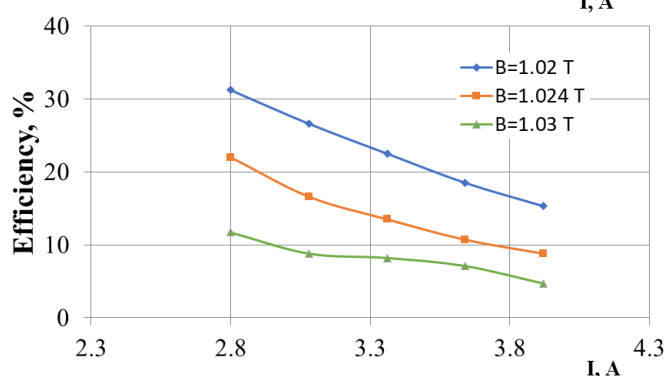
Efficiency calculations for a gyrotron with “wide” emitter



Efficiency calculations for a gyrotron



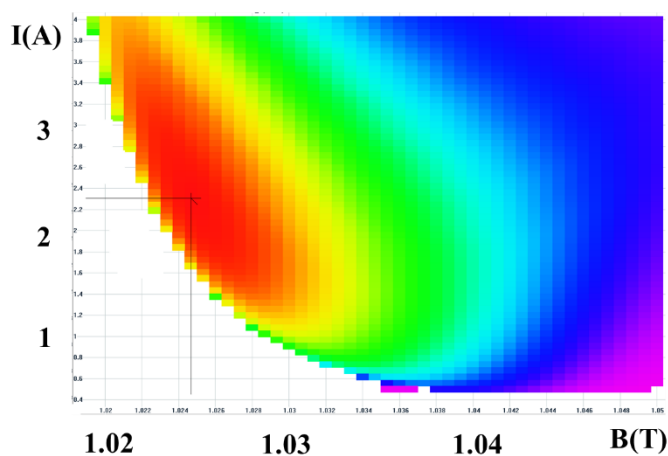
with a “standard”



and “wide” emitter

The beam current in the case of a wide emitter is higher. Therefore the optimal magnetic field is lower (the cyclotron resonance mismatch should be larger).

Color map of efficiency in I(B) diagram



The optimal point is shown for the standard emitter. In the case of a wide emitter, the current is higher, so the optimal magnetic field is lower.

Some possibilities for improvement

Although, our simulations predict a reasonable efficiency in a gyrotron with a widened emitter, it makes sense to analyze how this efficiency can be increased. There are known general methods of the synthesis of gyrotron electron guns (*Sh. E. Tsimring, “Synthesis of systems for the formation of helical electron beams, Radiophysics and Quantum Electronics, vol. 20, 1068-76, 1978*) that can be used for maximizing the electron beam pitch ratio and minimizing the velocity spread. Below, for illustration purposes, we consider a simple case: a simple electron gun with *parallel surfaces of the cathode and anode*. As follows from a simple adiabatic theory of magnetron-type electron guns (*A. L. Goldenberg and M. I. Petelin, Radiophysics and Quantum Electronics, vol. 16, 106-11,*

1973), the orbital electron velocity at the entrance to resonator depends on the magnetic field there and the normal electric field at the cathode as

$$\beta_{\perp 0} = \frac{v_{\perp 0}}{c} = (\alpha_H)^{\frac{2}{3}} (E_{\perp c} / H_0).$$

Here $\alpha_H = H_0 / H_c$ is the ratio of the magnetic field in the resonator to that on the cathode, i.e. the magnetic compression factor.

Some possibilities: simple case

- 1) Take into account that far from the midplane of the solenoid the magnetic field varies as $H_c(z) \propto 1/z^3$. Origin of z is in the midplane of the solenoid.
- 2) In order to have the same orbital velocity for electrons emitted from different points of the emitter, the difference in the magnetic field should be compensated by the difference in the electric field at the cathode.
- 3) Represent the electric field at the cathode as $E_c = V_{\text{mod}}/d(z) - E_{sc}(z)$

V_{mod} is the mod-anode voltage (or beam voltage in diode guns); $d(z) = d_L - \delta(z)$

d_L is the distance between the emitter and the mod-anode at $z = L$

L is the coordinate of the left end of the emitter

E_{sc} is the space-charge field produced by the electrons emitted by the ring located on the left from a given thin ring. At the left end of the emitter $E_{sc}(\Delta z = W) = E_0$ (W being the width of the whole emitter).

In accordance with Goldenberg and Petelin (1973), this space charge field can be defined as

$$E_{sc}(W) \approx \frac{V_{\text{mod}} I_b}{d I_p}, \quad \frac{e I_p}{m c^3} = \sqrt{(\hat{E}_c / 2)^3 \varphi_{\text{cath}} (R_{\text{cath}} / W)}, \quad \hat{E}_c = (e V_{\text{mod}} / m c^2) (W / d).$$

- 4) Electrons will have **equal orbital velocities** when the distance between the cathode and anode scales with the axial coordinate as

$$\frac{\delta(z)}{d_l} = \left[\frac{9}{2} \frac{W}{L} + \frac{E_{sc,0}}{V_{\text{mod}}/d_l} \right] \frac{\Delta z}{W}$$

- 5) Consider a simple case of a tilted anode (originally the anode is parallel to the cathode):

$$\begin{aligned} \delta(z) &= (\Delta z) \varphi, \quad \varphi \ll \pi; \\ \varphi &= \frac{9}{2} \frac{d_l}{L} + \frac{e I_b}{m c^3} \frac{1}{\sqrt{(\hat{E}_c / 2)^3 \varphi_{\text{cath}}}} \frac{d_l}{R_{\text{cath}}} \end{aligned}$$

This relation can be used to optimize electron gun by choosing properly the gun parameters: $d_L, \phi, E_0, V_{\text{mod}}, W$, and φ_{cath} . Such optimization, however, has not been done yet.

Some questions

Are the distribution functions with one peak the best ones???

Should we vary the gun parameters $d_L, \phi, E_0, V_{\text{mod}}, W$, and φ_{cath} so that this is achieved?

Maybe we should aim directly to achieving the maximum efficiency not bothering about the shape of distribution functions?

Summary

1. A new method of gyrotron efficiency characterization based on tracking the interaction of electrons emitted by different layers of the emitter is proposed.
2. It is shown that it is possible to develop gyrotrons with wide emitters capable of operating at higher currents with the efficiency close to that in the gyrotrons with standard emitters.
3. The development of relatively compact gyrotrons with wide emitters is especially beneficial in the case of sub-THz gyrotrons.



Dr. Yuya Ishikawa from the Research Center for Development of Far-Infrared Region received the 6th Research Encouragement Prize of the Japan Society of Infrared Science and Technology for his research on "Development of Millimeter-wave Ultra-low Temperature ESR / NMR Measurement System using 3He - 4He Dilution Refrigerator".



Source: The [website](#) of the Japan Society of Infrared Science and Technology



The Second Japan-Philippines Terahertz Research Workshop (JPTW 2019) was held at the Research Center for Development of Far Infrared Region at the University of Fukui (FIR UF) from 14 to 16 June 2019. It covered a wide scope of topics:

1. THz spectroscopy and sensing
2. THz components (emitter, detector, waveguides, etc.)
3. THz material science (molecules, solid state materials, liquid meta-materials, nonlinear THz response, etc.)
4. Theory and Modeling associated with THz science and technology
5. Other fields associated with THz science and technology

The workshop has been organized by the Kobe University, University of Fukui, University of Philippines Diliman, University of the Philippines Los Baños, and De La Salle University and chaired by Professor Masahiko Tani (Director of FIR UF). This event follows the previous workshop (PJTW 2017) that was held in the Science and Technology Complex of the De La Salle University from 20 to 24 February 2017.

Please, visit the official [website](#) of the Workshop for more details including the [list](#) of the invited speakers and the [program](#).

New Advancement in the Thermal Treatment of Materials using Gyrotron

Recently, the paper "Ultra-rapid microwave sintering of pure and Y_2O_3 -doped $MgAl_2O_4$ " of the researchers from IAP-RAS has been selected as one of the best 19 [featured articles](#) published in 2018 in the Journal of the American Ceramic Society. It presents results from experiments carried out using a gyrotron system for microwave processing of materials operating at a frequency of 24 GHz with a maximum power of 6 kW.



Source: News from the [website](#) of IAP-RAS (Published on 25 May 2019).

Please follow the [link](#) to the original paper: Bykov Y.V., Egorov S.V., Ereemeev A.G., Kholoptsev V.V., Plotnikov I.V., Rybakov K.I., Sorokin A.A., Balabanov, Belyaev A.V., "Ultra - rapid microwave sintering of pure and Y_2O_3 - doped $MgAl_2O_4$," J Am Ceram Soc., vol. 102 (2018) 559- 568. DOI:10.1111/jace.15788.

SOME FORTHCOMING EVENTS

IRMMW-THz
1-6 SEPTEMBER 2019



The 44th International Conference on Infrared, Millimeter, and Terahertz Waves (IRMMW-THz 2019) will be held in Paris, France, from 1st to 6th September 2019.

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LIST OF SELECTED RECENT PUBLICATIONS

Bibliography and links to selected recent publications on topics related to the research field of the International Consortium and published after February 2019, i.e. after issuing the previous Newsletter #11. This cumulative list is in chronological order as collected from various bibliographical and alert services

A. Publications by authors from the institutions participating in the International Consortium

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C Patents

Apparatus and methods for processing ultra-wideband electromagnetic waves

Inventors: Farhad Barzegar, Irwin Gerszberg, Giovanni Vannucci, Peter Wolniansky, Paul Shala Henry

US Patent: US10205482B1

Date of publication: 2019-02-12

<https://patents.google.com/patent/US10205482B1/en>

Methods and apparatus for adjusting a phase of electromagnetic waves

Inventors: Paul Shala Henry, Giovanni Vannucci, Thomas M. Willis, III, Shikik Johnson, Robert Bennett, Farhad Barzegar, Irwin Gerszberg, Donald J. Barnickel

US Patent: US10205212B2

Date of publication: 2019-02-12

<https://patents.google.com/patent/US10205212B2/en>

Guided-wave transmission device with non-fundamental mode propagation and methods for use therewith

Inventors: Henry, Paul Shala (Holmdel, NJ, US), Bennett, Robert (Southold, NY, US), Gerszberg, Irwin (Kendall Park, NJ, US), Barzegar, Farhad (Branchburg, NJ, US), Barnickel, Donald J. (Flemington, NJ, US), Willis III, Thomas M. (Tinton Falls, NJ, US)

United States Patent: 10200126

Publication Date: 2019-02-05

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Electron gun adjustment in vacuum

Inventor: Chris Ferrari , San Jose , CA (US)

US Patent: US 2019 / 0057830 A1

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Electron gun thermal dissipation in vacuum

Inventors: Chris Ferrari, San Jose, CA (US), Thomas M . Bemis, Arlington, MA (US)

Publication date: 2019-02-21

<https://patents.justia.com/patent/20190057829>

Microwave tempering of glass substrates

Inventors: Wei XU, Cheswick, PA (US), Yu JIAO, Blawnox, PA (US); David A., ALLERTON, Pittsburgh, PA (US), Dennis J. O ' SHAUGHNESSY, Allison Park, PA (US), Chao Y.U., Horseheads, NY (US).

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